

Regulatory Offences

Regulatory offences arise from breaches of regulations by individuals or corporate entities in conducting their professional or trading activities. There has been a steady growth in the creation of regulatory offences in recent years, and a tightening of existing ones, partly as a result of a number of high profile incidents, involving death, serious injury or other consequences arising from negligence or other associated activities.

Such cases are normally prosecuted by non-police organisations, the most prominent of which are:-

Health and Safety Executive
Office of Fair Trading
Financial Conduct Authority
General Medical Council
Care Quality Commission
Environment Agency
Solicitors Regulation Authority

With criminal sanctions applying, sentences can include substantial fines and imprisonment. It is therefore essential that you seek legal advice as soon you become aware that an Agency of the type described above is likely, or about, to commence an investigation into a possible breach of regulations by your organisation or an individual that you employ.